

**Decision Maker:** ADULT CARE AND HEALTH POLICY DEVELOPMENT AND SCRUTINY COMMITTEE

**Date:** Tuesday 21 November 2023

**Decision Type:** Non-Urgent                      Non-Executive                      Non-Key

**Title:** ADULT CARE AND HEALTH SERVICES RISK REGISTER – QUARTER 2, 2023/24

**Contact Officer:** Naheed Chaudhry, Assistant Director Strategy, Performance and Corporate Transformation  
Tel: 020 8461 7554 Email: [naheed.chaudhry@bromley.gov.uk](mailto:naheed.chaudhry@bromley.gov.uk)

Denise Mantell, Strategy Officer  
Tel: 020 8313 4113 E-mail: [denise.mantell@bromley.gov.uk](mailto:denise.mantell@bromley.gov.uk)

**Chief Officer:** Kim Carey, Director, Adult Social Care

**Ward:** N/A

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1. Reason for decision/report and options

- 1.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. Adult Care and Health Services' Risk Register covers those risks which impact on its ability to deliver its priorities and objectives. This report enables the PDS to scrutinise those risks and the actions taken to control them in line with Audit Sub-Committee recommendations.

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2. **RECOMMENDATION(S)**

Members of the Adult Care and Health Policy Development and Scrutiny Committee are asked to note:

- the current Adult Care and Health Services' Risk Register and the existing controls in place to mitigate the risks.

Impact on Vulnerable Adults and Children

1. Summary of Impact:
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Transformation Policy

1. Policy Status: Not Applicable
  2. Making Bromley Even Better Priority (*delete as appropriate*):
    - (2) For adults and older people to enjoy fulfilled and successful lives in Bromley, ageing well, retaining independence and making choices.
    - (5) To manage our resources well, providing value for money, and efficient and effective services for Bromley's residents.
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Financial

1. Cost of proposal: Not Applicable:
  2. Ongoing costs: Not Applicable:
  3. Budget head/performance centre:
  4. Total current budget for this head: £
  5. Source of funding:
- 

Personnel

1. Number of staff (*current and additional*):
  2. If from existing staff resources, number of staff hours:
- 

Legal

1. Legal Requirement: None:
  2. Call-in: Not Applicable
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Procurement

1. Summary of Procurement Implications:
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Property

1. Summary of Property Implications:
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Carbon Reduction and Social Value

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Impact on the Local Economy

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Impact on Health and Wellbeing

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Customer Impact

1. Estimated number of users or customers (*current and projected*):
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Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: N/A

### 3. COMMENTARY

- 3.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. The Adult Care and Health Services Risk Register feeds into the Corporate Risk Register, via the Corporate Risk Management Group, and comprises the high level departmental risks which are underpinned by more detailed registers contained within the divisional business plans.
- 3.2 Audit Sub-Committee agreed that the Corporate and Departmental Risk Registers would be reviewed at their meetings twice a year and then subsequently scrutinised by the relevant PDS Committee. Internal processes require that the departmental risk registers be updated and agreed by the Departmental Leadership Team (DLT) on a quarterly basis and be reviewed by the Corporate Risk Management Group.
- 3.3 The Adult Care and Health Risk Register 2023/24 Quarter 2 update was agreed by Adult Services Leadership Team in October 2023.
- 3.4 The Adult Care and Health Services Risk Register is attached as Appendix 1. The risks included in the Risk Register are outlined below.

<b>Risk Reference</b>	<b>Risk</b>
1	Failure to deliver Financial Strategy
2	Failure to deliver effective Adult Social Care services
3	Failure to deliver effective Learning Disability services
4	Deprivation of Liberty
5	Recruitment and Retention - ASC
8	Inability to deliver an effective Public Health service
9	Business Interruption / Emergency Planning
10	Data Collections
11	Failure to deliver partial implementation of Health & Social Care Integration
12	Adult Social Care Reforms
13	Adult Transport
14	Community Equipment

- 3.5 Since June 2023, when PDS last saw the Risk Register, the following risks have been added:
- Risk 13 – Adult Transport
  - Risk 14 – Community Equipment

The following changes have been made to the gross and net (current) risks.

- Risk 4 – Deprivation of Liberty– change of gross risk from 16 to 12

3.6 Mitigating actions have seen four high risks reduced to significant risk, one high risk reduced to medium risk, three significant risks reduced to medium risk, one significant reduced to low risk and two medium risks reduced to low risk.

Level of Risk	Gross Risk		Net Risk	
	No.	%	No.	%
High	5	42%	0	0%
Significant	5	42%	5	42%
Medium	2	17%	1	8%
Low	0	0%	6	50%
Total	12	100	12	100

#### 4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

The controls already in place and the further actions outlined in the Risk Register mitigate against adverse impacts on vulnerable children.

#### 5. TRANSFORMATION/POLICY IMPLICATIONS

There are no transformation or policy implications arising directly from this report. Any policy implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

#### 6. FINANCIAL IMPLICATIONS

There are no financial implications arising directly from this report. Any financial implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

#### 7. PERSONNEL IMPLICATIONS

There are no personnel implications arising directly from this report. Any personal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

#### 8. LEGAL IMPLICATIONS

There are no legal implications arising directly from this report. Any legal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

#### 9. PROCUREMENT IMPLICATIONS

There are no procurement implications arising directly from this report. Any procurement implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

#### 10. PROPERTY IMPLICATIONS

There are no property implications arising directly from this report. Any procurement implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**11. CARBON REDUCTION/SOCIAL VALUE IMPLICATIONS**

There are no environmental, social or economic implications arising directly from this report. Any implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**12. IMPACT ON THE LOCAL ECONOMY**

There is no impact on the local economy arising directly from this report. Any implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**13. IMPACT ON HEALTH AND WELLBEING**

There is no impact on health and wellbeing arising directly from this report. Any such implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**14. CUSTOMER IMPACT**

There is no impact on residents arising directly from this report. Any such implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

**15. WARD COUNCILLOR VIEWS**

N/A

<b>Non-Applicable Headings:</b>	
Background Documents: (Access via Contact Officer)	